## **Arriving the night before?**

A reception will be held from 5:00 p.m. to 7:00 p.m. the evening preceding each Workshop. Attendees can meet informally with the OCC faculty, get a hands-on demonstration of National BankNet, and see other value-adding products and services available to national banks. Attire is business casual. Hors d'oeuvres and beverages will be served.

## **To Register**

Community bank directors need only call (415) 442-5301 to register for the Workshop.

## **Registration Fee**

The registration fee is \$249 payable at the time of registration with a VISA or MasterCard. The price includes:

- Pre-course reading package
- Course materials
- Continental breakfast and lunch
- Audiocassette tape of the OCC's popular "Audit and Internal Controls" Telephone Seminar
- Bank Director's Toolkit, which includes The Director's Book, Red Flags in Board Reports, and the Internal Controls guide
- Community Bank Supervision Handbook

Hotel rates for this program have been discounted. To make your reservations on a space-available basis, please contact the hotel directly.

## **Special Needs**

If you require special accommodations to participate in this conference, please call (415) 442-5301.

## **Dates and Locations**

## St. Petersburg, FL

May 13, 2003

Renaissance Vinov Hotel www.marriott.com

Hotel Reservations: 1-888-303-4430 Room Rate: \$179/night

### **Boston. MA**

June 3, 2003

**Westin Copley Place Hotel** http://www.westin.com

Hotel Reservations: 617-262-9600 Room Rate: \$199/night

### Denver, CO

June 24, 2003

**Brown Palace Hotel** 

www.brownpalace.com

Hotel Reservations: 1-800-321-2599 Room Rate: \$175/night

## **Austin, TX**

July 22, 2003

The Driskill Hotel

www.driskillhotel.com

Hotel Reservations: 1-800-252-9367

Room Rate: \$145/night

### San Francisco, CA

August 19, 2003

Westin St. Francis

http://www.westin.com

Hotel Reservations: 1-800-937-8461 Deluxe Room Rate: \$189/night

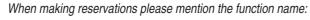
### Chicago, IL

October 28, 2003

The Swissotel

www.swissotel-chicago.com Hotel Reservations: 312-565-0565

Room Rate: \$198/night



**OCC Bank Directors Workshop** 



Comptroller of the Currency Administrator of National Banks

# Workshop for Community Bank Directors

Understanding the OCC's Risk Assessment Process

Register Today!

Call (415) 442-5301

**Choose from these dates and locations** 

St. Petersburg • May 13

**Boston** • June 3

**Denver** • June 24

Austin • July 22

San Francisco • August 19

Chicago • October 28

Hundreds Have Attended

## **Understanding the OCC's Risk Assessment Process**

# Workshop for Community Bank Directors

Community banks operate today in a increasingly complex — and risky — financial environment. It is a primary responsibility of each community bank director to understand the unique risk profile of the financial institution he or she serves.

John D. Hawke, Jr. Comptroller of the Currency

## Fresh Challenges for Community Bank Directors

Effectively measuring and managing risk is crucial to the success of community banks in these days of economic volatility. While bank managers deal with risk-related issues day-to-day, directors must maintain a level of knowledge that will best enable them to fulfill their oversight responsibilities.

This one-day Workshop combines lectures, discussions, and exercises designed specifically for outside directors of national community banks to:

- Enhance understanding of the OCC's approach to risk-based supervision.
- Increase familiarity with the major risks in commercial banking.
- Learn which risk-related questions should be asked of managers, auditors, and regulators.
- Review common ways to identify, measure, monitor, and control risk.

## Communicate with the Regulators

You will interact with three instructors who are experienced bank examiners from the OCC. These are seasoned professionals who regularly assess bank risk and risk management systems, and meet with bank managers and directors to discuss their findings and evaluations. They will share their experiences to help you improve your knowledge and skills. Enrollment is limited to 50 community bank directors per Workshop to optimize the opportunity for open and targeted dialogue. Pre-course reading materials will also be made available to enhance your Workshop experience.

## **Who Should Attend**

This workshop is geared primarily for outside directors of community banks less than \$1B. However, management directors could also benefit from attendance.

## PRELIMINARY AGENDA

A reception will be held from 5:00 p.m. to 7:00 p.m. the evening preceding the Workshop. Attendees can meet informally with the OCC faculty, get a hands-on demonstration of National BankNet, and see other value-adding products and services available to national banks. Hors d'oeuvres and beverages will be served.

7:00 AM Registration, Continental Breakfast, and BankNet Demonstrations

8:00 AM Morning Session

- Introductions and Program Overview
- Director Duties and Responsibilities
- CAMELSI and Risk Assessment Overview
- Reputation and Strategic Risk
- Interest Rate Risk
- Group Exercises

NOON Lunch and BankNet Demonstrations

1:15 PM Afternoon Session

- Liquidity Risk
- Operational Risk
- Credit Risk
- Group Exercises
- Wrap-up

4:30 PM Adjourn

## Reaction from Past Participant

Great overview
especially for outside
directors. It helped
eliminate the
regulator mystery—
the how, what and
why you look at
various risks.

## **Meet the Instructors**



Don Smith
Assistant Deputy Comptroller,
Southern District



**Donelle Ward**Assistant Deputy Comptroller,
Mid-Size Bank Program



Ned Pollock
Resident Examiner-In-Charge,
Bank One



Mark O'Dell
Deputy Comptroller
Core Policy



Nancy Wilson
Assistant Deputy Comptroller,
Western District



Randy Fenimore
Assistant Deputy Comptroller,
Southwestern District



**Terri Landa**National Bank Examiner,
Southeastern District



Tom Munz
Assistant Deputy Comptroller,
Central District



**Troy Thornton**Assistant Deputy Comptroller,
Midwestern District



**Bill Glover**Assistant Deputy Comptroller,
Midwestern District